CHAPTER 4

“Drugs, traffic, and many other dirty interests”

Metaphor and the language learner

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Existing empirical research into the role of metaphor in the foreign language learning process focuses primarily on comprehension and recall. Yet students’ ability to produce conventional metaphor in their speech and writing is considered one of the measures of advanced proficiency in a foreign language. While Danesi (1994) argues that “conceptual fluency” is fundamental if students are to achieve naturalness in their language production, Charteris-Black (2002) and others stress that conceptual knowledge does not necessarily lead to the production of acceptable linguistic forms. There is a gap to be bridged between learning the concepts and learning how they are realized linguistically. In this chapter, figurative language produced by advanced learners of English is examined with reference to general language corpora, both for the students’ mother tongue, Italian, and their foreign language, English. This mode of investigation makes it possible to identify when unusual phraseology can be ascribed to language transfer alone, and when other factors appear to be involved. The data presented in this chapter illustrate how conceptual knowledge formed in the mother tongue can interfere with the acquisition of foreign language conceptualisations, and highlight the importance of phraseology in fixing conceptual meaning.

Keywords: collocation, delexicalisation, figurative language, language learning, phraseology

1. Introduction

What kinds of figurative language do learners produce in their discursive writing? Despite its importance for language pedagogy and lexicography, as well as for linguistics in general, this question has not been adequately addressed in the existing literature. Research into learners’ language production tends to focus more on
'normal' aspects of the language – organizational markers, collocation errors, and terminological mismatches – with errors of a more abstract, conceptual nature all too often relegated to the rag-bag category of 'language interference'.

While it would be futile to contest the existence of language interference, the term itself is somewhat abused. It is all too easy to explain away learner-produced oddities by stating that they are caused by the influence of patterns from another language, especially when the oddity itself seems to evade definition in terms of grammar or conventional syntax. The vague explanations that often accompany the indication of such an error, ‘it doesn't sound quite right’, ‘we wouldn't say it like that’, and so on, do little to illuminate the matter. Having failed to identify the cause of the error, the instructor cannot offer students advice on how to avoid making similar mistakes in the future, and the popular notion that language mastery is acquired, not learned, is reinforced.

Yet there must be some basis underlying the identification of a linguistic peculiarity, even if that reason proves difficult to pinpoint. In this chapter, I consider the problem in terms of conceptual mismatches between L1 and L2, and describe its workings using examples from assignments produced by advanced learners of English at an Italian university. Gibbs (this volume) stresses the need to base metaphor interpretation on empirical data. In this chapter, extensive use of corpora is made: in the first case, the examples presented and discussed are drawn from a corpus of around 80,000 words which I compiled from my advanced (C1) students' homework assignments between 2003 and 2005. This corpus contains a range of text types and tasks, unlike the majority of learner corpora which are primarily composed of assessed essays. The anomalous uses that emerge from the students' writing are then compared against concordance and collocation data from general reference corpora for the target language (English) and also for the students' L1 (Italian) so that patterns attributable to language transfer can be ascertained.1 On the basis of the evidence provided by these corpora, I argue here that our conceptual knowledge of a word or expression's meaning range is forged from the sum of the conventional collocational and phraseological patternings of that word or expression in the L1, and that it is inadequate knowledge of the word's phraseological behaviour in the L2, rather than incomplete L2 conceptual knowledge, that results in the production of the "it doesn't sound right" type of interlanguage error.

2. **Metaphor and language learning**

Metaphor is occupying an increasingly prominent position in language teaching and in pedagogical lexicography. Lakoff and Johnson's (1980) Conceptual Metaphor Theory is only now gaining ground in applied linguistics, as it filters
down through university studies into teacher training courses and pedagogical resources. Abstraction is attractive to the language learner and teacher alike, as it shifts the emphasis away from the nitty-gritty of word-perfect utterances towards a more generalised impression of how the language communicates ideas, i.e. from knowledge of the language to knowledge about the language.

Several existing studies attest to the utility of appealing to students’ conceptual awareness during the language learning process. In vocabulary acquisition in particular, it seems that language items are more successfully learned when a specific focus is directed on the relation of figurative meanings to their corresponding literal meaning (Boers, 2000; Charteris-Black, 2000); it has also been shown that encouraging students to make use of their powers of visualisation (Boers & Stengers, 2005; Stengers et al., 2005) aids the comprehension of new items in text and also facilitates the recall of the same items in subsequent vocabulary tests.

Despite the success that raising students’ metaphorical awareness has had, most researchers remain sanguine about the effects of such knowledge on language production.

Knowledge of the conventional metaphoric themes of a given language does not guarantee mastery of its conventional linguistic instantiations. As it is impossible to predict exactly how a particular language will instantiate identified metaphoric themes, learners cannot employ their awareness of those metaphoric themes to “generate” figurative expressions in the target ... (Boers, 2000: 569)

Charteris-Black (2002) also notes that knowledge of the new language’s conceptual norms is of limited service for students wishing to produce native-like utterances. As he states, “where linguistic forms are quite different, activation of an equivalent first language conceptual basis does not always lead to the correct L2 linguistic form” (2000: 125). Charteris-Black (ibid.) repeatedly draws the reader’s attention to the fact that although conceptualisations may be shared across languages, the precise linguistic instantiations related to the concept can differ considerably. Ultimately, it is the linguistic form that carries the meaning.

The fundamental role of phraseology is also noted by Deignan et al. (1997), who stress that “the exact words and phrases which express this conceptual link in L2 cannot be guessed by reference to L1, so these need to be discussed and learned” (1997: 354). In other words, the abstract knowledge which can be drawn on successfully for decoding is insufficient for encoding purposes. Holme (2004) too reminds us of the relatively arbitrary nature of a conceptual metaphor schema, being “a principle of meaning extension whose destination cannot always be predicted” (2004: 97).

It therefore becomes apparent that there is an important relationship holding between concepts and the conventional phraseology with which they are realised,
but this interaction of form and meaning is often overlooked or downplayed. Metaphorical schemata are generalisations, and as such are minimally concerned with details. We have read that knowledge of the L2 conceptual frame of reference does not seem to be enough to ensure the production of acceptable linguistic renditions: there seems to be a gulf between drawing on a concept to aid comprehension, and encoding the concept in a satisfactory way. The claim that metaphor is “an important vocabulary-building skill for the language learner” (Lazar, 1996:44), and that metaphor and metonymy are “hugely productive forces within the lexicon” (Moon, 2004:200) may be justified enough, but the ways in which metaphor is exploited and exploitable requires more detailed investigation.

It is easy to over-generalise the range of application that the concept actually has when abstracting out from linguistic expressions to concept. Such over-generalisation is difficult to spot in a monolingual setting: counter-examples are notoriously difficult to invent, and the same can be said of unconventional phraseology. Learner language however provides a wealth of evidence for the priority of linguistic form over concept, because it illustrates how apparently innocuous changes to conventional phraseology can result in a failure to transmit the intended meaning. Philip (2005a) has shown how students’ expression of the concept LIFE AS VALUABLE COMMODITY – common to the students’ L1 – is dependent on particular phraseological renderings, and if these are altered, the result is only partial transmission of meaning. As this breakdown in meaning can occur even when the concept seems have been applied correctly and in the absence of grammatical or syntactical errors, it must be explained as a phraseological phenomenon caused by collocational incongruity.

A knowledge of how words typically combine (in collocations and conventional phraseology) helps to shape the corresponding understanding of concepts. Should the necessary linguistic knowledge be incomplete or inaccurate, so too will be the understanding – and expression – of those concepts. This observation runs contrary to the accepted view that concepts are drawn on in the creation of new expressions. The reality is that word forms do not combine promiscuously. While the generation of new expressions can be ascribed to conceptual force, the precise forms that these expressions can take is entirely determined by norms of linguistic usage, i.e. the accepted ways in which words combine with one another into preferred phraseological patternings or “lexical networks” (Gibbs & Matlock, 1999). Viewed from this standpoint, it becomes apparent that encoding in the L2 requires considerable knowledge of how concepts are lexicalised, rather than knowledge or awareness of the concept alone. In fact, the greater the students’ repertoire of conventional collocations and phraseology, the more proficient they appear to be in expressing concepts effectively. This can be contrasted with the observations cited above, which point out that knowledge of the concept does
not lead to the production of appropriate linguistic forms. Perhaps when Danesi speaks of “conceptual fluency” (1994: 454) he is picking up on this greater sensitivity to native-speaker norms of phraseology in which form, meaning and general conceptual trends are interwoven.

3. Encoding idiomatic meaning in the L2

Because Italian and English are quite closely related both linguistically and culturally, it comes as no surprise that the languages enjoy a similar outlook on the world (shared conceptual schemata) and often express this in similar ways (shared linguistic expressions). Cultural and lexical similarities make it relatively easy for an Italian student to become reasonably proficient in English, as there is so much in common. Yet the corollary of such linguistic and conceptual proximity is that students often rely more on their powers of deduction and intuition than on explicit learning. This is especially true once students move beyond simple, concrete constructions and start to use turns of phrase and more abstract language. It is all too easy to fall into the trap of assuming that words correspond on a one-to-one basis, to remain unaware that most words have more than one meaning (in lexicographical and translation terms), and to overlook the fact that the meaning of words in combination may not correspond to the sum of those words’ individual meanings (idiomaticity and phraseological meaning). This lack of language awareness can be remedied for the L2 by making explicit reference to metaphor in teaching, as the studies cited in section 2 have affirmed. But unless students are particularly sensitive to the workings of their L1, they will tend to prefer familiar word combinations in their L2 encoding. As a result their language production is often characterised by anomalous collocations and – even worse – word-for-word renditions of idiomatic phrases such as those illustrated in Examples (1) and (2).²

Idiomatic language is not only notoriously difficult to decipher in the L2, but it can also pose a problem in the L1 – L2 encoding process. Casting the very obvious cases of idiom aside (those which violate truth conditions, such as raining cats and dogs), it should never be forgotten that most language learners are not linguists by profession, and as such they are less inclined to break down and categorise the language they use. It is understandable that non-compositional expressions and terminology can be considered ‘literal’ by non-experts, because the lay person’s perception of what counts as ‘figurative’ is much closer to literary metaphor than to the much more pervasive dead metaphor: as Gibbs & Matlock remind us, “experts’ intuitions often differ from those of ordinary individuals who have no preconceived notions about the phenomenon of interest” (1999: 263). Examples (1) and (2) constitute fairly typical instances of word-for-word calquing of Italian
figures of speech into English. The highlighted phrase in Example (1) corresponds to the Italian provare sulla mia/propria pelle (‘to experience first-hand’); and the phrase in Example (2) corresponds to la fuga dei cervelli (‘the brain drain’).

1) …the incredible “escape of the brains” and the difficulties in which the scientific research is left.

2) As I could experience (on my own skin), research in Italian universities is very scarcely promoted.

Mistakes such as these are often put down to laziness on the part of the student, who is probably aware that the phrase is not correct in English. Students at a lower level of proficiency than those whose work is discussed in this chapter often leave direct translations or even untranslated text in their compositions when they do not know the equivalent and have not been able to find it (or simply have not bothered to look it up). As far as these examples are concerned, it is safe to say that Example (1) is a case of laziness, as the stimulus text used the term brain drain, and the student failed to recognise and re-use it. Instead, he inadvertently created a humorous expression: the sensation that the incredible “escape of the brains” sounds facetious or ironic is confirmed by corpus data. The only modifier found before escape of the is luckiest (the luckiest escape of my life occurs 4 times in BNC), and, in more general terms, the string the escape of the appears to favour the company of wild and dangerous things, a category to which brain (intelligent person) is not normally assigned. Using this word to complete the string flouts the expression’s normal combinatorial preferences and creates a humorous effect (see Louw, 1997).

Example (2) is a different matter, however, as this particular use of pelle is not listed in the large bilingual dictionary that the students use (Ragazzini, 1995), nor is it listed in the same publisher’s corpus-based monolingual Italian dictionary (Zingarelli, 2001), suggesting that it is not really thought of as having a different sense to the established (in Italian) metaphorical ones of ‘life’ (experience) and ‘proximity/intimacy’. This being the case, the student would not have been able to locate an appropriate translation even had she looked for one, so she fell back on translation.

Examples (1) and (2) above are, thankfully, quite uncommon in advanced learner writing. Students are more likely to shy away from phraseological turns and figurative language than to attempt to recreate them in the L2 (Philip, 2005b). They are unwilling to cause offence or unintentional humour, and avoid situations that are liable to end up in a loss of face. These examples have been extracted from coursework assignments which were not graded for assessment, so the students have been less conservative than they might have been in an exam setting. By far the most frequent type of inter-language anomaly is caused by errors of collocation, and these are examined in the next section.
4. Collocation and conceptualisation

While collocation errors could be considered by some to provide evidence of inadequate conceptual knowledge in the L2, they can be comprehensively accounted for in linguistic terms alone. Conceptual knowledge does not come out of thin air – it is created and sustained through linguistic forms. The study of unsuccessful approximations of conventional linguistic forms makes it apparent that conceptual mapping is selective and highly dependent on, and sensitive to, particular lexical realisations. This section deals with a number of collocation anomalies related to non-literal word senses in a bid to reveal their linguistic origin, and how this might relate to Danesi’s (1994) notion of conceptual fluency.

4.1 The meaning of delexicalised words

Collocations are a headache for the language learner because they are word-form specific and resist generalisation. While common noun-verb collocations are introduced at a very early stage in the language learning process, the collocations that vex advanced learners are those more relevant to academic and other discursive writing, particularly verb-adverb and noun-adjective collocations. These often appear to be arbitrary because they differ in inexplicable ways from the equivalent patternings in the L1. Furthermore, the fact that such word combinations do not exert their full meaning potential (they are at least partially delexicalised) is often not perceived by learners, who tend to favour a compositional interpretation of language.

Delexicalisation entails two principal aspects of meaning which act in tandem. In the first case, delexicalised content words lose some of their salient meaning, and function words lose some of their grammatical functional value. Secondly, their status as autonomous orthographic units (character strings surrounded by white space) is weakened. As a result, the meaning that delexicalised words convey is created and bolstered by their co-occurrence with habitual collocates: the words work together to create meanings which are not necessarily present when the same words are being used compositionally. A similar phenomenon can be observed in idioms, but with one crucial difference: the meaning of an idiom extends beyond the meanings of its component parts, while the meaning of a delexical form is restricted and delimited by its collocates (Philip, 2007).

The examples brought forward in this section are all instances of collocation transfer, and illustrate how L1 delexicalised chunks are broken down and reformulated verbatim in the L2. An analysis of the errors and the L1 patterns that have influenced them makes it clear that students stick to lexical combinations
that are familiar to them; it is difficult to find any evidence which might support there being a conceptually-driven approach to encoding.

(3) ...you can meet people belonging to different cultures, nationalities, races; you have the chance to enlarge your views.

(4) Even in Italy the Government is elaborating measures for the introduction of e-learning in higher-education institutions in order to catch up with standards in other countries.

(5) My nerves broke down and I went into a heavy depression.

Enlarge one's views, elaborate measures and heavy depression are all fairly typical collocation errors in Italian natives' production of English. Enlarge occurs frequently as a mistranslation of 'broaden/widen', because allargare is formally similar to large. Obviously with the two words referring to different kinds of space – horizontal for allargare, but both horizontal and vertical for enlarge – the meaning referent is anomalous for English. Elaborate (mistranslated from elaborare, 'to process [information]') again appears to violate English conceptual norms, in that elaborate is a synonym of embellish, not devise. Measures are introduced or taken, but apparently not formulated so, as was the case for Example (3), both of the collocates are inappropriate for the context of use.

When the meaning of the offending collocate is figurative but has been translated by the equivalent normally reserved for the literal sense, this problem is exacerbated. The student who produced Example (3) has used heavy to translate pesante, a highly polysemous word whose senses extend well beyond the range of its English equivalent. Heavy is fine as a translation for the literal sense, but is inappropriate for most of the figurative meanings; here the translation should read deep depression. As it seems not to have occurred to the student that the meaning of pesante in this example is not the same as the meaning of pesante in una valigia pesante ('a heavy suitcase'), he had no reason to double-check the meaning in his dictionary. By 'knowing' that these words are translation equivalents, he has simply transferred the patterns of pesante onto heavy. Yet even had he known that English prefers to express emotions in terms of depth rather than weight, he may have still produced an anomalous collocation, such as profound depression (profound being close to the Italian equivalent, profondo). 3 Collocations are not compositional and therefore difficult to predict or second-guess.

4.2 Literal and figurative meanings in translating collocation

Failure to recognise different senses of a word, and the role and function of any given word in a chunk of language, is a major problem in language acquisition for
all but the few students who are linguistic experts. Just as most users of a computer have little idea of how the components are built and how they interact with one another, for most people language is simply a tool for communicating with. Our L1 forms our frame of reference for the world, and part of the pleasure of learning a foreign language is discovering new ways of viewing the world as expressed in and through the new language.

When learners set about acquiring an L2, they will find that some of their existing L1 concepts are meaningless while others seem to have the same value, so can be transferred successfully. Although inappropriate or irrelevant concepts can be suppressed during the use of the L2, it is not so easy a matter to understand how similar concepts match up in appropriate and/or conventional linguistic patterns. We have already seen what happens when idiomatic phrases and collocations are translated verbatim from L1 to L2; but the problem is as relevant to fully lexical language, especially when the literal/figurative boundary is reached.

Concepts are often perceived to be shared across languages and the existence of direct (or nearly direct) equivalents reinforces the illusion of similarity. Despite these felicitous correspondences, no two languages are translations of each other. However, the precise ways in which the L1 and L2 uses diverge can easily be missed by learners, by their teachers (unless their command of both languages is excellent), and also by lexicographers: monolingual lexicography is not concerned with contrasting languages, and most bilingual lexicography is still structured on native monolingual models. As a result of this under-emphasis on contrast, and over-generalisations of collocational patternings, the documentation of fine levels of distinction is usually inadequate to prevent interlanguage from seeping into learners’ speech and writing.

One of the most difficult aspects of meaning for learners to grasp is that the translation of a L1 word in its literal sense may not be an appropriate translation for the same L1 word when used figuratively. For the non-expert language learner, words are not split up into sub-senses unless they are homographs and thus quite clearly ‘different words’. As a result, if a translation equivalent is known for the literal meaning, it is likely that this L2 expression will serve as an all-purpose equivalent for that L1 word. From this simplistic view of equivalence, it is easy to overlook the fact that what is ostensibly the same string of characters may in fact represent distinct meanings, with their own rules of syntactic patternning.

Example (6) illustrates what can happen when the differences in figurative extensions of a common word have not been identified.

(6) If you live in a condominium conflicts and discords can be born with others.

The concept of birth as beginning is very closely related to the literal sense of birth, and it is used in both English and Italian. At first glance, Example (6) looks
like an attempt to be creative which results in a conceptual near miss, though, as with so many errors, its apparent creativity stems from L1 norms: the student has transferred the conventional collocational patterning of nascere, in a way which is alien to English.

In Italian, nascita (birth) collocates with emozioni (emotions, feelings) difficoltà (difficulties), equivoci (misunderstandings), guai (trouble), problemi (problems) – the general category to which “conflicts and discords” belongs – over and above the range that English expresses with birth. BNC data for English indicates that the metaphorical sense of birth applies to nations, businesses, organisations, political movements, social trends and academic disciplines, but nowhere is it used for emotional or mental states. For this reason, then, the collocation of conflict and discord with born is inappropriate (i.e. atypical and hence anomalous). It also violates English norms of usage and, by extension, the conceptual range ascribed to birth. It needs to be stressed that this is a matter of collocation error, and not one of conceptual incompatibility. The error is not caused by the particular conceptual ranges that birth or nascita have, but because when English speaks of the creation of nations, businesses, organisations, political movements, social trends and academic disciplines, birth is acceptable, yet it is not normally used to describe emotions or troubles (troubles start, problems arise, and conflicts and discord are caused/provoked). The overriding concept (beginnings) is basically the same for all these expressions, but while Italian can use nascere to lexicalise all these ideas, English chooses from a range of collocates depending on the entity that is being mentioned.

Further cases of erroneous metaphorical transfer are found in Examples (7) and (8). Again, Italian collocations are translated in ways which are not acceptable nor particularly comprehensible in English.

(7) It might be better if we slacken our way of life and if we learn from the nature!

(8) Summing up, I prefer to live in a city like Bologna because of the many-sided opportunities that I can find in it.

Once again, the errors presented here can be read from a conceptual standpoint or a linguistic one. If we consider them as compositional choices which privilege the salient meanings of slacken and many-sided respectively, then we have to try to justify the choices in terms of what these words’ figurative meanings imply. If, instead, we consider them as the direct translations of non-compositional or formulaic expressions, then it is the meaning of the whole expression that is of interest, and not the individual values of the components.

As a literal translation of allentare, slacken (Example 7) is the best choice, but here the meaning is not literal (i.e. collocating with screw, knot, etc.), but rather the figurative sense ‘to slow down or relax’. Does the student mean to unloosen in
its fully salient sense, which would trigger off metaphorical associations such as
life being tense and our feeling constrained by it; or does she mean ‘slow down
the pace’, ‘take it easy’, ‘relax’? My impression is that it is the second option, based
on the fact that students are on the whole very reluctant to create novel figurative
language (Philip, 2005b). Students’ reliance on L1 norms of phraseology seems to
be a way of avoiding the use of expressions whose unfamiliarity emphasises their
figurative nature. Learners view them as being more figurative than native speak-
ers do, and thus seek shelter in native forms which are familiar to them, even if
they are not conventional in the L2.

Example (8) is characterised by the same type of error: many-sided (Example 8)
is the literal translation of poliedrico, the adjective derived from poliedro
(‘polyhedron’). To his credit, the student has recognised that the meaning is fig-
urative, avoiding transliteration and opting for the translation provided for the
figurative sense ‘many and varied’. However, the choice of translation still reflects
the literal meaning of poliedrico (the correct translation in this context would be
‘[great] variety of’). Once again we are forced to decide if he is trying to express a
particular mental image, or if he is using a familiar L1 expression in translation. It
cannot be ruled out that the student might have in mind an image of opportunity
as an object with many facets, like a diamond, but this is impossible to ascertain.
Linguistically, however, it can be verified that poliedrico collocates principally with
two recurring common nouns – figura (‘figure’), and attività (‘activity/ies’) – and
with proper names, in particular names of artists, musicians and other creative
people. This conventional use of the adjective in the student’s L1 contributes to
and reinforces the conception that a person or thing described as poliedrico is
characterised as having many aspects, faces or sides. This interaction between fa-
miliar language and familiar concept is one of the factors responsible for colloca-
tion errors such as many-sided opportunities. The student may feel that a different
adjective does not quite convey the right sense, and so prefers the L1 rendering
regardless of its lack of currency in the L2. The reason why the collocation sounds
strange is that many-sided collocates in English with questions, debates and prob-
lems, all of which have contrasting characteristics. Opportunities do not share this
element of contrast, and are therefore defined by number and quantity (many, a
lot of) rather than by their disparity. This student’s repertoire of conventional L1
colloctions has contributed to his conceptual knowledge of what an opportunità
is, and he applies this semantic information to the L2 encoding process with-
out stopping to think that his conceptual knowledge is language-specific, and so
might not carry over to the L2.

It is here that we see how language and concepts interrelate. Concepts do
not exist independently of language, and contrary to the opinion that concepts
generate new linguistic metaphors, cross-linguistic comparisons demonstrate
that conceptual schemas are linguistically determined and language-specific. As a final illustration of mismatch between L1 and L2 concept/conventional linguistic expression, let us examine the example which also appears in the title to this chapter: drugs, traffic and many other dirty interests.

(9) And in the end one of the biggest problems that affects big towns is the criminality that frightens especially women and people in general. It’s a plague that sometimes is connected to drugs, traffic and many other dirty interests.

As well as referring to things that are physically soiled, dirty is used to describe dishonesty, unfair dealings, negative evaluations of sex, and bad things in general. These abstract categories are informed by collocates such as jokes, words, business, and lies, but the precise ways in which these concepts are lexicalised in language are far more specific than might be imagined. For example dirty business is a conventional, non-compositional expression, but the apparently synonymous dirty interests (Example 9) is not; in fact it is almost meaningless in English. Being a compositional pairing, it is difficult to figure out which particular sense of dirty is being alluded to, and by grouping together drugs (dirty = ‘illegal’), traffic (dirty = ‘polluted’) and interests (dirty = any of the established senses, including ‘sexually deviant’, ‘illegal’, ‘morally questionable’), the resulting chunk reads as an opaque metaphor, or a rather zany and imaginative zeugma. In contrast, the Italian expression which this student has translated, interessi sporchi, is a conventional collocation which draws on the corruption sub-sense of sporco (‘dirty’). Whereas the near-synonyms affari (‘business’) and interessi both collocate normally with sporco, it is interesting to note that although business can collocate with dirty, interests cannot. Even if the student had already come across the expression dirty business in text or in a dictionary, he would have had no means of discovering that the collocation he produced should be unacceptable. If we abstract out from dirty’s collocational patternings to the concept that it represents, there is no reason why dirty interests should be unacceptable. It is simply an illustration of the fact that “linguistic behaviour among users of a language is highly stereotypical, even in matters of fine detail” (Hanks, 2004: 246). As was true of Examples (6)–(8), it is doubtful whether any figurative meaning was intended, especially as the phrase is introduced by a metaphor proper, a plague, which although conventional, still exerts some degree of metaphorical life in both languages.
4.3 Discussion

The data presented in this chapter lends support to the claim that conceptual errors in the L2 are ultimately caused by the inappropriate use of linguistic forms. However, attributing all errors to language interference is somewhat simplistic and not particularly illuminating. If we start from the premise that our conceptual knowledge is built up from the sum of the linguistic expressions that we know, then figurative language studies must examine the role of phraseology in considerably greater detail. When figurative language is studied from a cross-linguistic perspective, it becomes all too apparent that conceptual sets are only partially and selectively exploited. The meanings conveyed by conceptually-related figurative expressions are not governed by abstract thought, but by collocational tendencies, and with the very precise and detailed phraseological patterns in which those collocates co-occur.

Conceptual knowledge in the L1 is an abstraction of the language patterns of the L1. Proficient learners tend not to find decoding difficult because they have already amassed a considerable store of conventional language forms in the L2 on which they can draw. However, even if the recognition of form is relatively trouble-free, the memorisation of new language items or new uses of familiar items is rather more problematic, with conventional phraseological patterns seeming to get distorted at some point between recognition and recall. This is most likely due to the prioritising of salient meanings, with the result that these will be recalled with greater ease than will their contextual, phraseological meanings. Yet conventional expressions are not typified by salience but by delexicalisation and idiomaticity, which function in close collaboration with regular phraseological patternings. Even the smallest change to the established wording of a phrase can interfere with the transmission of the intended meaning.

5. Encoding L1 concepts in the L2: The creation of opaque metaphor

While the phraseology of Example (9) saves it from total incomprehensibility (the pattern ‘x, y and other z’ indicates a logical connection between dirty interests and its collocates drugs and traffic), the final examples to be presented in this chapter are not so fortunate. When L2 lexical and conceptual mapping is inadequate or erroneous, the inevitable result is communicative failure. There are clearly different gradations of incomprehensibility, and context can do much to ease the passage of information. When the collocation is at fault, as in previous examples, the context helps the reader to pass over the error and select an interpretation based on native norms, and this helps to neutralise the disjointedness that arises from
the non-standard phraseology. Text is predictive, and textual meaning is partly created by the reader’s expectation of what will come next. Sometimes, however, text does not do what we expect it to, and if the language does not follow familiar patterns, deciphering its meaning can be challenging. This was the case with Examples (1) and (2), which transported L1 idiomatic meaning word-for-word into L2. A reader unfamiliar with the L1 patterns would recognise that the meaning was idiomatic, but may not be able to understand what is meant.

(10) Recently, Britain’s young have been questioned about several issues so as to try to inquire which their interests, expectations, ambitions are and how they relate to society. Yet this attempt to define clearly these features has ended up leaving us with the same puzzled and confused frown.

(11) We had better understand the young and elderly without starting off from a biased point of view, whereby they are separated by so deep a grave, but rather by watching how their perspectives on reality can change when they face up reality.

Examples (10)–(11) show what appear to be deliberate attempts to use figurative language for rhetorical purposes: they do not follow standard L1 patterns, nor do they tally with L2 norms, and they appear to be compositional. Meaning can be extracted from these phrases, but by failing to adhere to L2 phraseological norms, fluency is compromised. Puzzled and confused (Example 10) does not appear in the BNC (even though the near-synonymous phrase bewildered and confused occurs 3 times); and although puzzled, perplexed and worried all modify frown, confused does not. Additionally, puzzled and... follows the verb look in 25% of instances, and is typically located in post-modifying position. Were frown to have been replaced by look (on our faces), the expression would have passed virtually unnoticed. As it is, however, the non-standard version requires reprocessing. As a facial expression, frown is related to look, but it forms different phraseological patterns; and this is enough to impede the flow of the meaning.

Using terms which represent vertical space (i.e. depth) is an unusual way of speaking metaphorically about distance. In both Italian and English, separato and separated tend to collocate with terms which represent horizontal space: this is true for time spans, viewpoints and physical distance, while the only examples of vertical separation offered in corpus data refer to physical divisions effected by the use of plate glass or metal. So when we find the expression separated by so deep a grave used to explain divergence in opinions (Example 11), it strikes us as odd because it refers to vertical space. Although it is beautifully constructed in grammatical and rhetorical terms, the conceptualisation is anomalous. To further confuse the reader, the choice of grave here is rather infelicitous because it occurs in a context where the young and the old are being compared. The proximity of
elderly and grave triggers the literal meaning of grave, which may not be the one intended. With no other indicators provided, the expression remains opaque and open to variable interpretation.

The sorts of language mismatch illustrated in this chapter suggest that familiarity with collocational patterning is ultimately more influential than conceptual knowledge in achieving fluency in a foreign language. Students bring their L1 conceptual knowledge with them when they work in the L2, and if the languages share common cultural and linguistic ground, over-generalisations abound regarding the applicability of conceptual and lexical information. The ‘same’ word is thought to have the same meaning and sphere of reference, and because of this students are apt to use the L2 equivalent in the same phraseological patterning as those used in the L1. Similarity makes students reluctant to consult dictionaries at the advanced level, because they are quite convinced that they already ‘know’ the word. And because many students have managed to get by in much of their language learning by falling back on translation and approximate renderings of what they believe they have seen, they often fail to develop the necessary degree of sensitivity to phraseology required for them to master the L2.

6. Conclusions

While attention to metaphor in foreign language pedagogy is indisputably helpful in the learning process, some of the issues raised in this chapter require further attention. In the first place, it is apparent that a great deal of awareness-raising is required in the language classroom if students are to fully appreciate how their L1 knowledge is to be encoded in the L2. It is not apparent to most students that their world knowledge is structured in terms of their L1, and it comes as a surprise to find that the L2 lexicalised concepts in palpably different ways. It is therefore important that students be encouraged to compare and contrast the two languages, even though this runs somewhat contrary to the preferred monolingual approach to foreign language teaching.

One of the problems with teaching and learning figurative expressions in the L2 is the risk of over-estimating the metaphorical vividness. Decoding from the L2 favours salient meanings, reading phrases compositionally when in most cases the language is non-compositional, delexicalised and metaphorically dead. As a consequence, figurative expressions whose wordings are different to those used in the L1 are often perceived as being more figurative than they really are. It is not easy for a learner to appreciate delexicalised, phraseological meanings because they sound unconventional compared to the patterns they are familiar with in their L1. The relatively low incidence of this sort of language in learner writing
can probably be attributed to a desire to avoid sounding ‘foreign’, when in fact the use of these conventional phrases would have precisely the opposite effect.

Figurative and metaphorical senses of words do not exist in isolation, but are created and fixed in context. Form and meaning interact in very delicate and detailed ways, as discussion of the data above has highlighted, so if priority is given to content words alone, the link between wording and meaning is seriously compromised. Encouraging students to remember lexical information conceptually or visually, while advantageous to the learning and decoding of new vocabulary, may cause interference between recognition of a language item and its recall for encoding process (as opposed to recall in elicitation tests). Errors and inaccuracies in the phraseology can interfere with meaning even when no fault can be found with the collocation of content words.

Divorcing content and structure causes meaning to disintegrate. Meaning is wholly dependent on form, and if learners are to incorporate conventional figurative language into their productive repertoires, they will have to focus at least as much on the finer points of phraseology as they currently do on the semantic and conceptual content.

Notes

1. The corpora consulted were (for English) the British National Corpus http://www.natcorp.ox.ac.uk/ and (for Italian) CORIS http://corpora.dslo.unibo.it/CORISCorpQuery.html

2. Here and in subsequent examples: any errors in the examples are original; all emphasis is editorial.

3. There were only two occurrences of heavy + depression in the British National Corpus (http://www.natcorp.ox.ac.uk/) both of which referred to the atmosphere (ambience); compare to twenty-four occurrences of deep depression, of which twenty refer to the emotional state, two to the weather, and two to the economy.

References


Chapter 4. Metaphor and the language learner


